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## Index theory for foliations

Moulay-Tahar Benameur

Université Paul-Verlaine Metz

Trimester on Groupoids and Stacks

Slides and notes will be posted on  
[www.math.univ-metz.fr/benameur](http://www.math.univ-metz.fr/benameur)  
by the end of the month

- Lecture 1: Index techniques for coverings.
- Lecture 2: Cheeger-Gromov invariant and Keswani's work.
- Lecture 3: Index theory for suspensions and the foliated  $\rho$  invariant.
- Lecture 4: Application of the foliated index maps to the gap-label problem for quasi-crystals.
- Lecture 5: Index theory for general foliations. Focus on homotopy invariance of Haefliger signature.

# Lecture 1: Survey of index theory for coverings

- 1 Overview of some classical constructions of Hilbert modules associated with Galois coverings.
- 2 Dirac operators, the  $K$ -theory index (Miscenko-Fomenko/Connes-Skandalis) and relation with the type II index and the type I index.
- 3 Atiyah's index theorem, comparing traces on  $K$ -theory. Relation with BC-conjecture.
- 4 The signature operator and some words on the Novikov conjecture.
- 5 The odd case.

## Some definitions

In these notes,  $\Gamma$  is a countable discrete group.

### Definition

$\mathbb{C}\Gamma$  (resp.  $\ell^1\Gamma$ ) denotes the convolution  $*$ -algebra (resp. Banach  $*$ -algebra) of finitely supported complex valued functions (resp. summable complex valued functions) on  $\Gamma$ .  $\delta_\gamma$  is the Dirac function at  $\gamma \in \Gamma$ . Rules

$$\delta_g \delta_h = \delta_{gh} \text{ and } (\delta_g)^* = \delta_{g^{-1}}.$$

### Lemma

The left regular  $*$ -representation  $\lambda$  of  $\ell^1\Gamma$  in  $\ell^2\Gamma$

$$\lambda(f)(\xi) = f\xi, \quad f \in \ell^1\Gamma \text{ and } \xi \in \ell^2\Gamma,$$

is injective.

## Definition

- The norm closure of  $\ell^1(\Gamma)$  in  $B(\ell^2(\Gamma))$  is the reduced  $C^*$  algebra of the group  $\Gamma$  and is denoted  $C_r^*\Gamma$ .
- The completion of  $\ell^1(\Gamma)$  with respect to  $\sup_{\pi \in \hat{\Gamma}} \|\pi(f)\|$  is the maximal  $C^*$  algebra of  $\Gamma$  and is denoted  $C_m^*\Gamma$ .

**Note:** We have a  $*$ -homomorphism  $\lambda : C_m^*\Gamma \longrightarrow C_r^*\Gamma$ .

## Example

If  $\Gamma = \mathbb{Z}^n$ , then

- $\mathbb{C}\Gamma \simeq \mathbb{C}[z, \bar{z}]$ ,  $z \in \mathbb{T}^n$  and  $C_r^*\mathbb{Z}^n \simeq C(\mathbb{T}^n)$ .
- For  $z \in \mathbb{T}^n$ ,  $\pi_z : \mathbb{Z}^n \rightarrow U(1)$  with  $\pi_z(p_1, \dots, p_n) := z_1^{p_1} \cdots z_n^{p_n}$  is a general element of  $\widehat{\mathbb{Z}^n}$ . So,  $C_m^*\mathbb{Z}^n \simeq C(\mathbb{T}^n) \simeq C_r^*\mathbb{Z}^n$ .

## Data and notations...

- $M$  is a closed Riemannian smooth manifold.
- $\pi : \tilde{M} \rightarrow M$  is a Galois  $\Gamma$ -cover with  $\tilde{M}$  a smooth Riemannian manifold.
- $F$  is a fundamental domain in  $\tilde{M}$ , i.e. a Borel subset  $F$  of  $\tilde{M}$  such that  $F\gamma \cap F \neq \emptyset \Rightarrow \gamma = 1$  and  $\bigcup_{\gamma \in \Gamma} F\gamma = \tilde{M}$ .
- A Lebesgue class measure  $\mu$  on  $M \rightsquigarrow$  a  $\Gamma$ -invariant measure  $\tilde{\mu}$  on  $\tilde{M}$ .
- $p : E \rightarrow M$  is a hermitian vector bundle over  $M$  and  $\tilde{E} = \pi^*E$ .
- $L^2(M, E)$  (resp.  $L^2(\tilde{M}, \tilde{E})$ ) is the space of (classes of) sections of  $E$  over  $M$  which are square  $\mu$ -integrable (resp. of  $\tilde{E}$  over  $\tilde{M}$  which are square  $\tilde{\mu}$ -integrable).

# MF and CS Hilbert modules

## Lemma

The space  $C_c(\tilde{M}, \tilde{E})$  is a right module over the  $*$ -algebra  $\mathbb{C}\Gamma$  endowed with a  $\mathbb{C}\Gamma$ -valued non-negative hermitian product, for the rules:

$$(\xi f)(\tilde{m}) := \sum_{g \in \Gamma} (g\xi)(\tilde{m}) f(g^{-1})$$

$$\text{and } \langle \xi, \xi' \rangle (g) := \langle \xi, g^{-1}\xi' \rangle_{L^2(\tilde{M}, \tilde{E})},$$

for  $\xi, \xi' \in C_c(\tilde{M}, \tilde{E})$  and  $f \in \mathbb{C}\Gamma$ .

## Definition

We set for any  $\xi \in C_c(\tilde{M}, \tilde{E})$ :  $\phi(\xi) := \sum_{g \in \Gamma} R_g^* \xi \otimes \delta_g$ .

## Lemma

The section  $s = \phi(\xi)$  is  $\Gamma$ -invariant:  $\gamma s = s$  where for  $u \in C^\infty(\tilde{E})$ , and  $v \in \mathbb{C}\Gamma$  we define  $\gamma(u \otimes v) := R_\gamma^* u \otimes \delta_\gamma v$ .

## Lemma

- 1 The space  $C(\tilde{M}, \tilde{E} \otimes \mathbb{C}\Gamma)^\Gamma$ , of  $\Gamma$ -invariant sections in the above sense, is a right  $\mathbb{C}\Gamma$ -module, endowed with a  $\mathbb{C}\Gamma$ -valued non-negative hermitian scalar product, for the rules:

$$\langle \eta \otimes \delta_g, \eta' \otimes \delta_{g'} \rangle := \int_F \langle \eta(\tilde{m}), \eta'(\tilde{m}) \rangle d\tilde{\mu}(\tilde{m}) \delta_{g'^{-1}g}$$

$$\text{and } (s\delta_h)(\tilde{m}) := s(\tilde{m})\delta_h.$$

- 2 The map  $\phi$  is an isomorphism of  $\mathbb{C}\Gamma$ -modules which agrees with the hermitian products.

## Definition

We denote by  $\vartheta_{alg}$ ,  $\vartheta_r$  and  $\vartheta_m$  the bundles over  $M$  with total spaces the following quotient spaces:

$$\vartheta_{alg} := \tilde{M} \times_{\Gamma} \mathbb{C}\Gamma, \vartheta_r := \tilde{M} \times_{\Gamma} C_r^*\Gamma \text{ and } \vartheta_m := \tilde{M} \times_{\Gamma} C_m^*\Gamma).$$

## Corollary

*The space  $C(M, E \otimes \vartheta_{alg})$  is a right  $\mathbb{C}\Gamma$ -module which is isomorphic to the right  $\mathbb{C}\Gamma$ -module  $C_c(\tilde{M}, \tilde{E})$ . Moreover,  $\phi$  agrees with the hermitian products.*

## Definition

- 1 The completion of  $C_c(\tilde{M}, \tilde{E})$  with respect to a unitary (injective) representation  $\pi$  of  $\Gamma$  is a Hilbert  $C_\pi^*\Gamma$ -module, denoted  $\mathcal{E}_\pi$ .
- 2 The completion of  $C_c(\tilde{M}, \tilde{E})$  with respect to the norm  $\|\xi\|_m := \sup_{\pi \in \hat{\Gamma}} \|\langle \xi, \xi \rangle\|_\pi^{1/2}$  is denoted  $\mathcal{E}_m$  and it is a Hilbert module over  $C_m^*\Gamma$  called the maximal CS Hilbert module.
- 3 We shall denote by  $\mathcal{E}_r$  the Hilbert  $C_r^*\Gamma$ -module  $\mathcal{E}_\lambda$ .
- 4 In the same way, we can complete  $C(M, E \otimes \vartheta_{alg})$  with respect to the norm  $\|\langle \eta, \eta \rangle\|_\pi^{1/2}$  or to the maximal norm to get corresponding Hilbert modules called the MF Hilbert modules and denoted  $\mathcal{E}_\pi^{MF}$ ,  $\mathcal{E}_m^{MF}$  or  $\mathcal{E}_r^{MF}$  when  $\pi = \lambda$ .

## Proposition

*The Hilbert modules  $\mathcal{E}_r$  and  $\mathcal{E}_r^{MF}$  over  $C_r^*\Gamma$  are isomorphic. Same statement holds for the maximal completions.*

## Corollary

*The natural morphism  $\lambda_{\mathcal{E}} : C_c(\tilde{M}, \tilde{E}) \rightarrow \mathcal{E}_r$  extends into  $\lambda_{\mathcal{E}} : \mathcal{E}_m \rightarrow \mathcal{E}_r$ , such that for any  $\xi \in \mathcal{E}_m$  and any  $a \in C_m^*\Gamma$ ,*

$$\lambda_{\mathcal{E}}(\xi a) = \lambda_{\mathcal{E}}(\xi)\lambda(a).$$

# Dirac operators

## Notations...

- Fix a generalized Dirac operator  $D : C^\infty(M; E) \rightarrow C^\infty(M, E)$ .
- Denote by  $\tilde{D} : C^\infty(\tilde{M}, \tilde{E}) \rightarrow C^\infty(\tilde{M}, \tilde{E})$  the (lifted) operator.
- $\tilde{D}$  is then a  $\Gamma$  invariant generalized Dirac operator on  $\tilde{M}$ .
- The linear operator  $\tilde{D}$  is then  $\mathbb{C}\Gamma$  linear

## Lemma

*The operator  $\tilde{D}$  is a densely defined closable operator on  $\mathcal{E}_m$  (resp. on  $\mathcal{E}_r$ ). Its closure  $\mathcal{D}_m$  (resp.  $\mathcal{D}_r$ ) is a self-adjoint operator on  $\mathcal{E}_m$  (resp.  $\mathcal{E}_r$ ).*

## Definition

We set

$$\mathcal{D}_m^{MF} = \phi_m \circ \mathcal{D}_m \circ \phi_m^{-1} \text{ and } \mathcal{D}_r^{MF} = \phi_r \circ \mathcal{D}_r \circ \phi_r^{-1}$$

## Proposition

- 1 The MF-hilbert module  $\mathcal{E}_m^{MF}$  (resp.  $\mathcal{E}_r^{MF}$ ) is equal to  $L^2(M, E \otimes \vartheta_m)$  (resp.  $L^2(M, E \otimes \vartheta_r)$ ).
- 2 The operators  $\mathcal{D}_m^{MF}$  (resp.  $\mathcal{D}_r^{MF}$ ) and  $D \otimes \vartheta_m$  (resp.  $D \otimes \vartheta_r$ ) coincide.

## Proposition

*The operator  $\mathcal{D}_m$  is regular. Same statement for  $\mathcal{D}_r$ ,  $\mathcal{D}_m^{MF}$  and  $\mathcal{D}_r^{MF}$ .*

## Proposition

*For any function  $f$  whose restriction to  $\sigma(\mathcal{D}_m) \subset \mathbb{R}$  is continuous, the operator  $f(\mathcal{D}_m)$  is a normal regular operator which is self-adjoint when  $f$  is real. The same statement holds for  $\mathcal{D}_r$ .*

## Proposition

We have

$$\mathcal{E}_m \otimes_\lambda \ell^2 \Gamma \simeq L^2(\tilde{M}, \tilde{E}) \text{ and } f(\mathcal{D}_m) \otimes_\lambda I \simeq f(\tilde{D}).$$

In the same way, we have

$$\mathcal{E}_m \otimes_\epsilon \mathbb{C} \simeq L^2(M, E) \text{ and } f(\mathcal{D}_m) \otimes_\epsilon I \simeq f(D).$$

## Remark

The above results on  $\mathcal{D}_m$  and  $\mathcal{D}_r$  hold for the operators  $\mathcal{D}_m^{MF}$  and  $\mathcal{D}_r^{MF}$  by using conjugation with the isomorphism  $\phi$ .

# The groupoid $G = \tilde{M} \times_{\Gamma} \tilde{M}$

We introduce

- The Lie groupoid whose space of units is  $M$  and whose space of arrows is  $G = \tilde{M} \times_{\Gamma} \tilde{M}$ .
- $C_c(G; \text{End}(E))$ , with  $\text{End}(E) = \text{Hom}(s^*E, r^*E)$ , is a  $*$ -algebra.
- There is a  $*$ -representation  $\pi$  of  $C_c(G; \text{End}(E))$  in  $\mathcal{L}_{\text{CG}}(C_c(\tilde{M}, \tilde{E}))$ :  
$$\pi(f)(\xi)(\tilde{m}) := \int_{\tilde{M}} f[\tilde{m}, \tilde{m}'] \xi(\tilde{m}') d\tilde{\mu}(\tilde{m}').$$

## Definition

- 1  $L^1(G, \text{End}(E))$  is the Banach algebra for the norm

$$\|f\|_1 := \sup_{\tilde{m} \in \tilde{M}} \int \|f[\tilde{m}, \tilde{m}']\| d\tilde{\mu}(\tilde{m}').$$

- 2  $C_m^*(G; \text{End}(E))$  is the completion of  $L^1(G, \text{End}(E))$  with respect to the collection of all continuous  $*$ -representations.
- 3  $C_r^*(G; \text{End}(E))$  is the completion of  $L^1(G, \text{End}(E))$  with respect to  $\|f\|_r := \|\lambda(f)\|$ .

## Proposition

- $\pi$  extends into  $*$ -representations  $\pi_r^E$  and  $\pi_m^E$  of  $C_r^*(G; E)$  and  $C_m^*(G; E)$  in  $\mathcal{E}_r$  and  $\mathcal{E}_m$  respectively.
- $\pi_r$  and  $\pi_m$  are  $C^*$  algebras isomorphisms onto the compacts.

## Proposition

For any  $f \in C_0(\mathbb{R})$  the  $C_m^*\Gamma$ -linear bounded operator  $f(\mathcal{D}_m)$  is a compact operator on the Hilbert module  $\mathcal{E}_m$ .

Similar results hold for the operators  $\mathcal{D}_r$ ,  $\mathcal{D}_m^{MF}$  and  $\mathcal{D}_r^{MF}$ . Hence we get in this way elements of  $C^*$ -algebras of the groupoid  $G$ .

## Proposition

The  $C^*$  algebras  $C_r^*(G; \text{End}(E))$ ,  $C_r^*(G)$  and  $C_r^*\Gamma$  are Morita equivalent. The same property is true for the  $C^*$  algebras  $C_m^*(G; \text{End}(E))$ ,  $C_m^*(G)$  and  $C_m^*\Gamma$ .

## Remark

Fix a smooth compactly supported continuous non-negative function  $f$  on  $\tilde{M}$  with support in  $F$  and with  $\int_{\tilde{M}} (f(\tilde{m}))^2 d\tilde{\mu}(\tilde{m}) = 1$ , and define

$$\psi(\delta_h) := \sum_{g \in \Gamma} gf \otimes (gh)f \text{ that we view in } C_c(G).$$

Then,  $\psi$  is a  $*$ -homomorphism from  $\mathbb{C}\Gamma$  to  $C_c(G)$  which implements by extension the Morita isomorphism in  $K$ -theory.

# Various indices and traces

## Proposition

If  $\tilde{Q}$  is a  $\Gamma$ -invariant parametrix for  $\tilde{D}$  then the operators  $\mathcal{R}_m = I - \mathcal{Q}_m \mathcal{D}_m$  and  $\mathcal{S}_m = I - \mathcal{D}_m \mathcal{Q}_m$  are compact operators of  $\mathcal{E}_m$ . Same statement for  $\mathcal{D}_r$ .

## Theorem

Let  $\tilde{Q}$  be a  $\Gamma$ -invariant  $\mathbb{Z}_2$ -graded compactly supported parametrix for  $\tilde{D}$ . Set  $\tilde{S}_m^+ = I - \tilde{Q}_m^- \tilde{D}_m^+$  and  $\tilde{S}_m^- = I - \tilde{D}_m^+ \tilde{Q}_m^-$ . Then

$$\tilde{E} := \begin{pmatrix} (\tilde{S}^+)^2 & -\tilde{Q}^-(\tilde{S}^- + (\tilde{S}^-)^2) \\ -\tilde{S}^- \tilde{D}^+ & I_- - (\tilde{S}^-)^2 \end{pmatrix}$$

is an idempotent

and  $[\tilde{E}] - [\pi_-] =: \text{Ind}_\Gamma(\tilde{D}^+)$  belongs to  $K_0(C_c^\infty(G; \text{End}(E)))$  and does not depend on the choice of  $\tilde{Q}$ .

## Corollary

If we close the operators in  $\mathcal{E}_m$  or in  $\mathcal{E}_r$  then we get the index classes  $\text{Ind}_\Gamma(\mathcal{D}_m^+)$  and  $\text{Ind}_\Gamma(\mathcal{D}_r^+)$  respectively.

- $\text{Ind}_\Gamma(\mathcal{D}_m^+)$  denotes also the corresponding element in  $K_0(C_m^*(G))$  or even in  $K_0(C_m^*\Gamma)$ , and similarly for the reduced closure.
- The operator  $D^+$  is elliptic on  $M$  and hence admits a Fredholm index

$$\text{Ind}(D^+) = \dim(\text{Ker}(D^+)) - \dim(\text{Ker}(D^-)) \in \mathbb{Z}.$$

## Definition

- For  $T \in C_m^* \Gamma$ , we set

$$\tau_r(T) := \langle \lambda(T) \delta_e, \delta_e \rangle \quad \text{and} \quad \tau_{av}(T) := \epsilon(T).$$

Then  $\tau_r$  and  $\tau_{av}$  are positive finite traces on  $C_m^* \Gamma$ .

- For  $f \in C_c(G; \text{End}(E))$  we set

$$\tau_r(f) := \int_F \text{tr}(f[\tilde{m}, \tilde{m}]) d\tilde{\mu}(\tilde{m}) \quad \text{and} \quad \tau_{av}(f) := \sum_{g \in \Gamma} \int_F \text{tr}(f[\tilde{m}, \tilde{m}g]) d\tilde{\mu}(\tilde{m}).$$

Then  $\tau_r$  and  $\tau_{av}$  extend to positive traces on  $C_m^*(G; \text{End}(E))$ .

## Proposition

*The above traces induces group morphisms from the  $K$ -theory of the corresponding  $C^*$ -algebras to the reals.*

## Proposition

For any  $t > 0$ , consider the Wassermann idempotent for the regular or the maximal completions

$$P(tD) = \begin{bmatrix} e^{-tD^-D^+} & (-e^{-tD^-D^+}/2) \frac{I - e^{-tD^-D^+}}{tD^-D^+} \sqrt{t}D^- \\ -e^{-tD^+D^-}/2 \sqrt{t}D^+ & I - e^{-tD^+D^-} \end{bmatrix}.$$

Then  $P(tD)$  is an idempotent and  $[P(tD)] - [\pi_-] = \text{Ind}_\Gamma(\mathcal{D}^+)$  in  $K_0(\mathcal{K}_{C^*\Gamma}(\mathcal{E}))$ .

## Corollary

(McKean-Singer) (Also immediate directly!) We have for any  $t > 0$ ,

$$\begin{aligned} \tau_r(\text{Ind}_\Gamma(\mathcal{D}_r^+)) &= \tau_r(e^{-tD^-D^+}) - \tau_r(e^{-tD^+D^-}) \\ \text{and } \tau_{av}(\text{Ind}_\Gamma(\mathcal{D}_m^+)) &= \tau_{av}(e^{-tD^-D^+}) - \tau_{av}(e^{-tD^+D^-}). \end{aligned}$$

## Lemma

The projection  $p = p_+ \oplus p_-$  onto the kernel of  $\tilde{D}$  has finite  $\tau_r$ -trace. So  $\tau_r(p_+)$  and  $\tau_r(p_-)$  exist in  $\mathbb{R}$ .

## Definition

(Atiyah) The  $\ell^2$  index of  $\tilde{D}^+$  is  $\text{Ind}_{(2)}(\tilde{D}^+) = \tau_r(p_+) - \tau_r(p_-)$

## Theorem

(Atiyah) Set as before

$$\tilde{S}^+ = I - \tilde{Q}^- \tilde{D}^+ \text{ and } \tilde{S}^- = I - \tilde{D}^+ \tilde{Q}^- \text{ so } \tilde{S}^\pm \in C_c^\infty(G; \text{End}(E^\pm)).$$

Then for any  $n \geq 1$ ,  $\text{Ind}_{(2)}(\tilde{D}^+) = \tau_r((\tilde{S}^+)^n) - \tau_r((\tilde{S}^-)^n)$ .

## Theorem

(Atiyah's  $\ell^2$ -index theorem) We have  $\text{Ind}_{(2)}(\tilde{D}^+) = \text{Ind}(D^+)$ . In particular,  $\text{Ind}_{(2)}(\tilde{D}^+) \in \mathbb{Z}$ .

## Corollary

Denote by  $\beta_{(2)}^i$  the  $\ell^2$  dimension of the space of  $L^2$  harmonic  $i$ -forms on  $\tilde{M}$ , then  $\sum_{i=0}^n (-1)^i \beta_{(2)}^i \in \mathbb{Z}$ .

## Proposition

$$\text{Ind}(D^+) = (\tau_{av,*} \circ \text{Ind}_\Gamma)(\mathcal{D}_m^+) \text{ and}$$
$$\text{Ind}_{(2)}(\tilde{D}^+) = (\tau_{r,*} \circ \text{Ind}_\Gamma)(\mathcal{D}_m^+) = (\tau_{r,*} \circ \text{Ind}_\Gamma)(\mathcal{D}_r^+).$$

## Corollary

- 1 Denote by  $\mu_{r,m}(\Gamma)$  the subgroup of  $K_0(C_{r,m}^*\Gamma)$  built up from  $\Gamma$ -indices. Then the additive maps  $\tau_{r,*}$  and  $\tau_{av,*}$  are integral on  $\mu_r(\Gamma)$  and  $\mu_m(\Gamma)$  respectively.
- 2 The restrictions of  $\tau_{r,*}$  and  $\tau_{m,*}$  to  $\mu_m(\Gamma)$  do coincide.

## Remark

For groups satisfying BC, the image of  $\tau_{r,*}$  is integral.

If  $\Gamma$  satisfies the maximal BC, then the regular and averaged traces coincide on  $K$ -theory.

If  $\Gamma$  is for instance amenable then the two traces coincide on  $K$ -theory and are integral.

## Corollary

(McKean-Singer) We have

$$\text{Ind}(D^+) = \text{Tr}(e^{-tD^-D^+}) - \text{Tr}(e^{-tD^+D^-}) \text{ and}$$

$$\text{Ind}_{av}(D^+) = \tau_{av}(e^{-t\tilde{D}^- \tilde{D}^+}) - \tau_{av}(e^{-t\tilde{D}^+ \tilde{D}^-})$$

## The signature case

- Again  $M$  has even dimension  $n = 2m$ .
- We have a well defined bilinear form  $B$  on  $H^m(M, \mathbb{Z})$  given by cup product.
- If  $m = 2k + 1$  then  $B$  is antisymmetric, we set  $\sigma(M) = 0$ .
- If  $m = 2k$ , the signature of the quadratic form associated with  $B$  is  $\sigma(M)$ .

### Definition

Let  $M$  and  $Y$  be two oriented smooth closed manifolds, then  $M$  and  $Y$  are (strongly) homotopy equivalent if there exist two orientation preserving smooth maps  $f : M \rightarrow Y$  and  $g : Y \rightarrow M$  such that  $f \circ g \sim id_Y$  and  $g \circ f \sim id_M$ .

### Lemma

*If  $M$  and  $Y$  are homotopy equivalent even oriented closed smooth manifolds, then  $\sigma(M) = \sigma(Y)$ .*

- The Hodge operator  $*$  allows to write:

$$\langle \alpha, \beta \rangle := \int_M \alpha \wedge * \beta.$$

- We have  $* \circ * = (-1)^j$  on  $\Omega^j(M)$ .
- Set  $\tau = \sqrt{-1}^{j(j-1)+m} *$  on  $\Omega^j(M)$ . Then  $\tau \circ \tau = id$ .

### Lemma

We have  $d^* = - * \circ d \circ *$  and  $(d + d^*) \circ \tau + \tau \circ (d + d^*) = 0$ .

We thus write  $D = d + d^*$  as  $D = \begin{pmatrix} 0 & D_- \\ D_+ & 0 \end{pmatrix}$

### Proposition

We have  $\text{Ind}(D_+) = \sigma(M)$ .

## Definition

We define the space  $\mathcal{H}^*(\tilde{M})$  of  $L^2$  harmonic forms as the kernel of  $\tilde{\Delta}$  on  $\tilde{M}$ .

## Definition

Assume that  $m$  is even, then the  $\ell^2$  signature of  $\tilde{M}$  is given by

$$\sigma_{(2)}(M) := \dim_r(\mathcal{H}_+^m(\tilde{M})) - \dim_r(\mathcal{H}_-^m(\tilde{M})).$$

## Remark

*The  $\ell^2$  signature can also be defined using  $\ell^2$  simplicial cohomology.*

## Corollary

*(Atiyah) We have  $\sigma(M) = \sigma_{(2)}(M)$ .*

## Theorem

*Let  $\sigma_\Gamma(M) \in K_0(C_m^*\Gamma)$  be the  $\Gamma$ -index of the operator  $\mathcal{D}_m^+$ . Then  $\sigma_\Gamma(M)$  is invariant under oriented homotopy equivalence.*

## Theorem

(Hirzebruch) There is a polynomial  $\mathcal{L}(M) = \mathcal{L}(p_1, \dots, p_\ell)$  such that  $\sigma(M) = \langle \mathcal{L}(M), [M] \rangle$ .

## Corollary

The characteristic number  $\langle \mathcal{L}(M), [M] \rangle$  is an oriented homotopy invariant.

## Definition

Let  $f : M \rightarrow B\Gamma$  classify  $\tilde{M} \rightarrow M$ . Then for any  $x \in H^*(B\Gamma)$ , we define higher signature  $\sigma_x(M) := \langle \mathcal{L}(M) \sqcup f^*(x), [M] \rangle$ .

## Conjecture

(Novikov) The higher signatures are oriented homotopy invariants.

## Remark

If  $\sigma_x(M)$  can be interpreted as the image of the K-theory signature  $\sigma_\Gamma(M)$  under some additive map associated with  $x$ , then Novikov is proved.

Assume now that  $M^{2m-1}$  is odd dimensional.

### Lemma

If  $\chi$  is a chopping function, then the operator  $-e^{i\pi\chi(\mathcal{D}_m)}$  is a unitary operator on  $\mathcal{E}_m$  and represents a class  $\text{Ind}_\Gamma(\mathcal{D}_m)$  in  $K_1(C_m^*\Gamma)$  which does not depend on the choice of  $\chi$ .

In particular, we have

$$\text{Ind}_\Gamma(\mathcal{D}_m) = (\mathcal{D}_m - iI)(\mathcal{D}_m + iI)^{-1} \in K_1(C_m^*\Gamma).$$

Assume for simplicity that  $\dim(M) = 2m - 1$  with  $m = 2\ell$ .

### Definition

The operator  $D$  on even forms, defined on forms of degree  $2k$  by

$$D = (-1)^{\ell+k+1}(*d - d*) : \Omega^{2k}(M) \rightarrow \Omega^{2m-2k}(M) \oplus \Omega^{2m-2k-2}(M),$$

is the signature operator on  $M$ .

## Proposition

*The signature operator  $D$  is a first order self-adjoint elliptic operator.*

## Definition

The signature class  $\sigma_\Gamma(M)$  in  $K_1(C_m^*\Gamma)$  is the  $\Gamma$ -index of the operator  $\mathcal{D}_m$  constructed out of the signature operator  $D$ .

## Theorem

*If  $M$  and  $M'$  are (strongly) homotopy equivalent then  $\sigma_\Gamma(M) = \sigma_\Gamma(M')$ .*